

JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

1. DOCKET NO.: P66506USO GROUP ART UNIT: 167
SERIAL NO.: 09/787,443 FILING DATE: March 29, 2001
APPLICANT(S): Lars Christian B. RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- CA AA Andersson et al.; "Age-related changes in expression of the neural cell adhesion molecule in skeletal muscle: a comparative study of newborn, adult and aged rats"; BIOCHEMICAL JOURNAL 1993; 290: 641-648
- CB AB Beggs et al.; "NCAM140 Interacts with the Focal Adhesion Kinase p125^{FAK} and the SRC-related Tyrosine Kinase p59^{lyn}"; JOURNAL OF BIOLOGICAL CHEMISTRY 1997; 272, No. 13: 8310-8319
- CC AC Carenini et al.; "Absence of the myelin-associated glycoprotein (MAG) and the neural cell adhesion molecule (N-CAM) interferes with the maintenance, but not with the formation of peripheral myelin"; CELL AND TISSUE RESEARCH 1997; 287: 3-9
- CD AD Cremer et al.; "NCAM Is Essential for Axonal Growth and Fasciculation in the Hippocampus"; MOLECULAR & CELLULAR NEUROSCIENCES 1997; 8: 323-335
- CE AE Cremer et al.; "Inactivation of the N-CAM gene in mice results in size reduction of the olfactory bulb and deficits in spatial learning"; NATURE 1994; 367: 455-459
- CF AF Daniloff et al.; "Altered Expression of Neuronal Cell Adhesion Molecules Induced by Nerve Injury and Repair"; JOURNAL OF CELL BIOLOGY 1986; 103: 929-945
- CG AG Daston et al.; "Spatially Restricted Increase in Polysialic Acid Enhances Corticospinal Axon Branching Related to Target Recognition and Innervation"; JOURNAL OF NEUROSCIENCE 1996; 16: 5488-5497
- CH AH Doherty et al.; "The VASE exon downregulates the neurite growth-promoting activity of NCAM 140"; NATURE 1992; 356: 791-793
- CI AI Doherty et al.; "REVIEW CAM-FGF Receptor Interactions: A Model for Axonal Growth"; MOLECULAR AND CELLULAR NEUROSCIENCE 1996; 8: 99-111
- CJ AJ Doyle et al.; "Hippocampal NCAM180 Transiently Increases Sialylation During the Acquisition and Consolidation of a Passive Avoidance Response in the Adult Rat"; JOURNAL OF NEUROSCIENCE RESEARCH 1992; 31: 513-523
- CK AK Edelman et al.; "Place-dependent Cell Adhesion, Process Retraction, and Spatial Signaling in Neural Morphogenesis"; COLD SPRING HARBOR SYMPOSIA ON QUANTITATIVE BIOLOGY, COLD SPRING HARBOR LABORATORY PRESS, 1990: 303-318

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DATE CONSIDERED

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EXAMINER: Initial is reference considered, whether or not citation is in conformance with MPEP 509; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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Sheet 2 of 5

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

1. DOCKET NO.: P66506USO GROUP ART UNIT: 1647
SERIAL NO.: 09/787,443 FILING DATE: March 29, 2001
APPLICANT(S): Lars Christian B. RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- CD BA Fazeli et al.; "The role of cell adhesion molecules during the development and regeneration of the neuromuscular system"; SEMINARS IN THE NEUROSCIENCES 1996; 8: 367-377
- CD BB Fields et al.; "Neural cell adhesion molecules in activity-dependent development and synaptic plasticity"; TRENDS IN NEUROSCIENCES 1996; 19: 473-480
- CD BC Frei et al.; "Different Extracellular Domains of the Neural Cell Adhesion Molecule (N-CAM) Are Involved in Different Functions"; JOURNAL OF CELL BIOLOGY 1992; 118: 177-194
- CD BD Furka et al.; "General method for rapid synthesis of multicomponent peptide mixtures"; INTERNATIONAL JOURNAL OF PEPTIDE AND PROTEIN RESEARCH 1991; 37: 487-493
- CD BE Gaardsvoll et al.; "Age-related changes in expression of neural cell adhesion molecule (NCAM) in heart: a comparative study of newborn, adult and aged rats"; EUROPEAN JOURNAL OF CELL BIOLOGY 1993; 61: 100-107
- CD BF Horstkorte et al.; "The Fourth Immuneoglobulin-like Domain of NCAM Contains a Carbohydrate Recognition Domain for Oligomannosidic Glycans Implicated in Association with L1 and Neurite Outgrowth"; THE JOURNAL OF CELL BIOLOGY 1993; Vol.121, No.6, 1409-1421
- CD BG Jucker et al.; "Transient upregulation of NCAM mRNA in astrocytes in response to entorhinal cortex lesions and ischemia"; BRAIN RESEARCH 1995; MOLECULAR BRAIN RESE.: 149-156
- CD BH Kasper et al.; "Functional Characterization of NCAM Fibronectin Type III Domains: Demonstration of Modulatory Effects of the Proline-Rich Sequence Encoded by Alternatively Spliced Exons a and AAG"; JOURNAL OF NEUROSCIENCE RESEARCH 1996; 46: 173-186
- CD BI Kiselyov et al.; "The First Immunoglobulin-like Neural Cell Adhesion Molecule (NCAM) Domain Is Involved in Double-reciprocal Interaction with the Second Immunoglobulin-like NCAM Domain and in Heparin Binding"; JOURNAL OF BIOLOGICAL CHEMISTRY 1997; 272: 10125-10134
- CD BJ Knittel et al.; "Cell-Type-Specific Expression of Neural Cell Adhesion Molecule (N-CAM) in Ito Cells of Rat Liver, Up-Regulation during in Vitro Activation and in Hepatic Tissue Repair"; AMERICAN JOURNAL OF PATHOLOGY 1996; 149: 449-462

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SERIAL NO.: 09/787,443 FILING DATE: March 29, 2001
APPLICANT(S): Lars Christian B. RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- CA Krushel et al.; "Neural cell adhesion molecule (N-CAM) domains and intracellular signaling pathways involved in the inhibition of astrocyte proliferation"; PROCEEDING OF THE NATIONAL ACADEMY OF SCIENCE OF THE UNITED STATES OF AMERICA 1998; 95: 2592-2596
- CB Lackie et al.; "Polysialic acid and N-CAM localisation in embryonic rat kidney: mesenchymal and epithelial elements show different patterns of expression"; DEVELOPMENT 1990; 110: 933-947
- CC Lahrtz et al.; "VASE-Encoded Peptide Modifies NCAM-and L1-Mediated Neurite Outgrowth"; JOURNAL OF NEUROSCIENCE RESEARCH 1997; 50: 62-68
- CD Lam et al.; "A new type of synthetic peptide library for identifying ligand-binding activity"; NATURE 1991; 354:82-84
- CE Lam et al.; "Streptavidin and Avidin Recognize Peptide Ligands with Different Motifs"; IMMUNOMETHODS 1992; 1: 11-15
- CF Landmesser et al.; "Polysialic Acid As a Regulator of Intramuscular Nerve Branching during Embryonic Development"; NEURONE 1990; 4-655-667
- CG Lüthi et al.; "Hippocampal long-term potentiation and neural cell adhesion molecules L1 and NCAM"; NATURE 1994; 372:777-779
- CH Maar et al.; "Characterization of Microwell Cultures of Dissociated Brain Tissue for Studies of Cell-Cell Interactions"; Journal of Neuroscience Research 1997; 47: 163-172
- CI Massaro et al.; "N-CAM in cerebrospinal fluid: a marker of synaptic remodelling after acute phases of multiple sclerosis?"; Italian Journal of Neurological Sciences 1987; Suppl. 6:85-88
- CJ Møller et al.; "NCAM in developing mouse gonads and ducts"; Anatomy and Embryology 1991; 184: 541-548
- CK Møller et al.; "Differential Expression of Neural Cell Adhesion Molecule and Cadherins in Pancreatic Islets, Glucagonomas, and Insulinomas"; Molecular Endocrinology 1992; 6: 1332-1342
- CL Nieke et al.; "Expression of the neural cell adhesion molecules L1 and N-CAM and their common carbohydrate epitope L2/HNK-1 during development and after transection of the mouse sciatic nerve"; Differentiation 1985; 30: 141-151
- CM Olsen et al.; "THE ABILITY TO RE-EXPRESS POLYSIALYLATED NCAM IN SOLEUS MUSCLE AFTER DENERVATION IS REDUCED IN AGED RATS COMPARED TO YOUNG ADULT RATS"; Int J Devl Neuroscience 1995; 13: 97-104

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SERIAL NO.: 09/787,443 FILING DATE: March 29, 2001
APPLICANT(S): Lars Christian B. RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- CA Ono et al.; "N-CAM Mutation Inhibits Tangential Neuronal Migration and Is Phenocopied by Enzymatic Removal of Polysialic Acid"; Neurone 1994; 13: 595-609
- CB Pollerberg et al.; "A Functional Role for the Middle Extracellular Region of the Neural Cell Adhesion Molecule (NCAM) in Axonal Fasciculation and Orientation"; Developmental Biology 1993; 156(2): 324-340
- CC Rabinowitz et al.; "Targeted mutation of Ncam to produce a secreted molecule results in a dominant embryonic lethality"; Proceedings of the National Academy of Science of the United States of America 1996; 93: 6421-6424
- CD Ranheim et al.; "Homophilic adhesion mediated by the neural cell adhesion molecule involves multiple immunoglobulin domains"; Proceedings of the National Academy of Science of the United States of America 1996; 93: 4071-4075
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- CF Rao et al.; "Mechanism of Homophilic Binding Mediated by the Neural Cell Adhesion Molecule NCAM"; Journal of Biological Chemistry 1994; 269: 27540-27548
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- CI Rønn; Ph.D. Thesis; The Protein Laboratory and The Division of Neurophysiology, University of Copenhagen 1997
- CJ Rutishauser et al.; "Polysialic acid in the vertebrate nervous system: a promoter of plasticity in cell-cell interactions"; Trends in Neurosciences 1996; 19: 422-427
- CK Sandig et al.; "The Homophilic Binding Site of the Neural Cell Adhesion Molecule NCAM Is Directly Involved in Promoting Neurite Outgrowth from Cultured Neural Retinal Cells"; Journal of Biological Chemistry 1994; 269: 14841-14848

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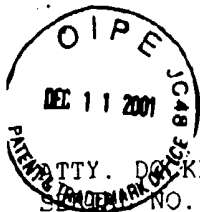
- EA Sanes et al.; "Expression of Several Adhesive Macromolecules (N-CAM, L1, J1, NILE, Uvomorulin, Laminin, Fibronectin, and a Heparan Sulfate Proteoglycan) In Embryonic, Adult and Denervated Adult Skeletal Muscle"; Journal of Cell Biology 1986; 102:420-431
- EB Schmid et al.; "NCAM Stimulates the Ras-MAPK Pathway and CREB Phosphorylation in Neuronal Cells"; Journal of Neurobiology 1999; 38: 542-558
- EC Scholey et al.; "A ROLE FOR THE NEURAL CELL ADHESION MOLECULE IN A LATE, CONSOLIDATING PHASE OF GLYCOPROTEIN SYNTHESIS SIX HOURS FOLLOWING PASSIVE AVOIDANCE TRAINING OF THE YOUNG CHICK"; Neuroscience 1993; 55: 499-509
- ED Schuch et al.; "Neural Cell Adhesion Molecules Influence Second Messenger Systems"; Neurone 1989; 3: 13-20
- EE Shen et al.; "Role of Neural Cell Adhesion Molecule and Polysialic Acid in Mouse Circadian Clock Function"; Journal of Neuroscience 1997; 17: 5221-5229
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- EG Stork et al.; "Increased Intermale Aggression and Neuroendocrine Response in Mice Deficient for the Neural Cell Adhesion Molecule (NCAM)"; European Journal of Neuroscience 1997; 9: 1117-1125
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- EI van Kammen et al.; "Further Studies of Elevated Cerebrospinal Fluid Neuronal Cell Adhesion Molecule in Schizophrenia"; Biological Psychiatry 1998; 43: 680-686
- EJ Walsh et al.; "EXPRESSION OF CELL ADHESION MOLECULE, N-CAM, IN DISEASES OF ADULT HUMAN SKELETAL MUSCLE"; Neuroscience Letters 1985; 59: 73-78
- EK Zhang et al.; "Polysialic Acid is Required for Optimal Growth of Axons on a Neuronal Substrate"; Journal of Neuroscience 1992; 12: 3107-3114

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SERIAL NO.: 09/787,443 FILING DATE: March 29, 2001
APPLICANT(S): Lars Christian B. RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- CA AA Ronn et al.; A simple procedure for quantification of neurite outgrowth based on stereological principles; Journal of Neuroscience Methods; 2000; 20(6); 25-32
- CB AB Ronn et al.; Neurite Outgrowth Induced by a Synthetic Peptide Ligand of Neural Cell Adhesion Molecule Requires Fibroblast Growth Factor Receptor Activation; Journal of Neurochemistry; 2000, 75; 665-671
- CC AC Kolkova et al.; Neural Cell Adhesion Molecule-Stimulated Neurite Outgrowth Depends on Activation of Protein Kinase C and the Ras-Mitogen-Activated Protein Kinase Pathway; The Journal of Neuroscience, 2000; 20(6); pp. 2238-2246
- CD AD Foley et al.; A Synthetic Peptide Ligand of Neural Cell Adhesion Molecule (NCAM) IgI Domain Prevents NCAM Internalization and Disrupts Passive Avoidance Learning; Journal of Neurochemistry; 2000, 74(6); pp. 2607-2613
- AE _____
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Sheet 1 of 1

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ATTY. DOCKET NO.: P66506US0 GROUP ART UNIT: 1614 1647
SERIAL NO.: 09/787,443 FILING DATE: July 30, 2001
APPLICANT(S): Lars Christian RONN et al.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

52 AA Rønn et al.; Increased intracellular calcium is required for
neurite outgrowth induced by a synthetic peptide ligand of NCAM;
FEBS LETTERS 518 (2002) 60-66

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